

Emergence of syllable structure from a coupled oscillator model of intergestural timing

Louis Goldstein
Department of Linguistics
Yale University
and
Haskins Laboratories

Phonology: combinatorial system of speech units

- **Phonology**
 - Discrete, context-independent speech units **recombine** to create the word-forms of language.
 - What are the primitive **units** ?
 - What is the **glue** that holds them together in word-forms ?
- **Articulatory Phonology**
 - Goal is to attempt to find answers to these questions
 - Both **phonological** and **physical** properties emerge lawfully from a common representation.

Articulatory phonology: units (Browman & Goldstein, 1992; 1995a)

- Act of speaking can be decomposed into atomic units of vocal tract constriction action, or **gestures**.
- **Properties**
 - **Macroscopic**. Gestures are discrete and can function as units of information (contrast and combination).
 - **Microscopic**. Continuous, context-dependent motion of articulators and sound unfolds lawfully from pattern of temporally overlapping gestures.

Articulatory Phonology: glue

- What is the glue that holds gestural atoms together in the appropriate patterns?
- Answer should account for observed regularities of gestural combination (properties syllable structure).

Syllable Structure: regularities of gestural combination

- **Macroscopic (Phonological)**
 - Onsets and rimes exhibit **relatively free** combination in most languages.
 - Other combinatorial possibilities are **typically more limited**:
 - Nuclei and codas
 - Cs within onsets and within codas
 - CV syllables are unmarked.
- **Microscopic (Physical)**
 - Relative timing of consonants in an onset cluster is more stable (less variable) than in a coda cluster.
 - Timing of consonants to the vowel varies as additional consonants are added to an onset, but not to a coda (in English).

Outline

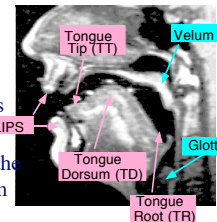
1. Gestures as discrete units
2. Coupling model of planning intergestural timing
3. Coupling model and syllable structure

What makes gestures discrete?

- distinct organs
- within-organ differentiation into distinct modes
- abstract (task) dynamical description

Organ independence

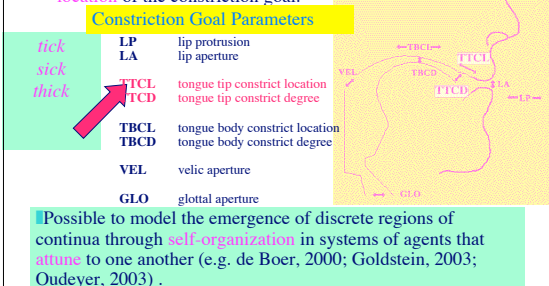
- Gestures control independent constricting devices, or **organs**.
Organs = **Articulators** of phonological theory (Halle, 1983)



- Gestures of distinct organs **count** as discrete differences.
- Even neonates show sensitivity to the partitioning of the oro-facial system into distinct organs (Meltzoff & Moore, 1977).

Discrete differentiation of within-organ action

- Gestures of a **given organ** can be differentiated by the **degree** and **location** of the constriction goal.



Between- vs. within-organ differentiation

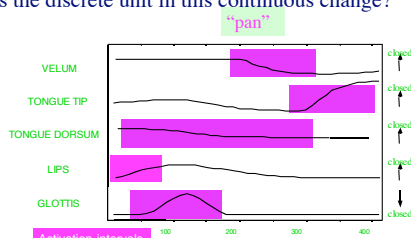
- View **predicts** that systematic differentiation of an organ's constriction goals are acquired later than systematic use of distinct organs themselves. (Studdert-Kennedy, 2002; Goldstein, 2003).
- Infant must attune to the environment** to develop within-organ modes.
- Preliminary support using perception of infant productions
 - Goldstein (2003), Son (in prep)

Discreteness in time:

Dynamical systems

- Articulators move continuously in time during a constriction action.
- Where is the discrete unit in this continuous change?

Differential Equations with fixed parameter values
Give rise to continuous motion over time



Task Dynamics (Saltzman, 1985; 1995)

- Constriction formation can be modeled as a (time)-invariant dynamical system that achieves a goal (task):
 - e.g., LA (distance between the lips) is **task** goal variable.
- Form of continuous motion over time emerges from the dynamical specification of active gestures.
- Context-dependence emerges from temporal overlap of invariant dynamical units
 - Invariant** dynamics at the task level shapes the time-varying, context-dependent dynamics at lower levels of the system (articulators and muscles).

From gestures to words: glue

- Word forms are molecules composed of multiple gestures.
- Relative timing of gesture activation is significant information and can be displayed in a gestural score.

“mad” “ban”

What is the **glue** that coordinates them appropriately?

Hierarchical syllable structure as glue?

Gestures can be organized into hierarchical segment and syllable structures.

- They encode the **macroscopic** properties of syllable structure (e.g. relative **independence** between **Ons** and **V**).
- But they cannot account for **microscopic** properties in a general and principled way (**timing** and **stability** of timing).
- or address how these properties could have emerged?

Coupling modes hypothesis

- Gestures are coordinated by dynamically coupling the timing of pairs of gestures to one another.
- Coupled dynamical systems harbor **multiple** (intrinsically) **stable modes**.
- Coordination of gestures exploits these stable modes (as much as possible): Kelso, Saltzman & Tuller, 1986).
- Properties of syllable structure (both microscopic and macroscopic) can be explained in terms of these modes.
- e.g., Hierarchical structure of syllables is not itself glue but is the consequence of combining gestures using stable coupling modes.

Coupled Dynamical Systems: entrainment

Christian Huygens, a 17th century Dutch physicist, noticed that pendulum clocks on a common wall tended to synchronize with each other.

Same frequency
1:1 frequency-locking
Constant relative phase
phase-locking

after Pikovsky et al 2001

Entrainment in human bimanual coordination

Limbs that start out oscillating at slightly different frequencies will entrain in:

- frequency
- phase

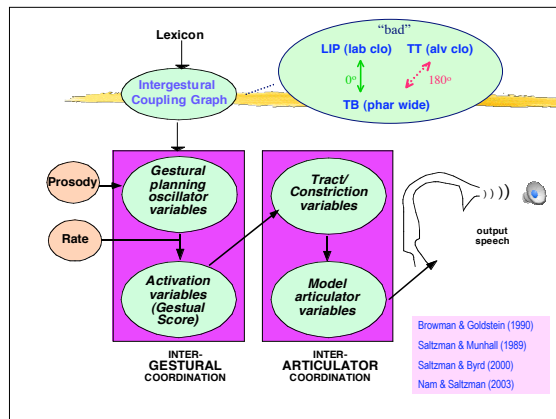
Stable phase-locking modes for limb coordination

- Spontaneously available phase-locks
 - 0° (in phase) **most stable**
 - 180° (anti-phase)
- Other phase locks can be learned (with difficulty).
- Abrupt transitions to **most stable mode (0°)** as frequency increases (Haken, Kelso & Bunz, 1985)

Turvey, 1990

Planning intergestural timing (Nam, Saltzman & Goldstein)

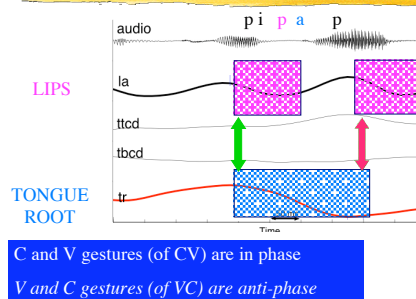
- Planning can be modeled as kind of **internal** repetition.
 - Each gesture corresponds to an oscillator.
 - Oscillators are coupled pair-wise to one another (according to a **coupling graph**) so as to achieve a target relative phase.
 - During (internal) repetition, coupling causes oscillators to settle at stable relative phases (Saltzman & Byrd, 2000).
 - Final relative phases can be used to trigger gestural activation (as shown in the gestural score).
- Coupling graph for an utterance
 - specifies how pairs of gestures are coupled to one another (target relative phases).
 - Properties of syllable structure emerge as consequences of this graph.



Modes in Coupling Graphs: C and V gestures

- If a consonant (**C**) gesture and a vowel (**V**) gesture are to be coordinated in an intrinsically stable mode, there are just two possibilities:
 - in-phase
 - hypothesized for C-V (**onset** relation) **most stable**
 - anti-phase
 - hypothesized for V-C (**coda** relation)
- Distinct C-V and V-C modes have been hypothesized has far back as Stetson (1951) [more recently, Tuller & Kelso, 1991; DeJong (2001)]
 - Here implications are followed for a theory of syllable structure

Evidence for C-V and V-C modes



Explaining combinatorial properties of syllables

- Hypothesis:** Combinatorial **freedom** of gestures is possible just where intergestural coordination exploits the **most stable** mode of coupling.
 - As long as gestures are coupled in the most stable mode, any gesture can be combined with any other.
 - With less stable (or non-intrinsically stable modes), specific phasings may have to be learned, so free combination is less likely.

Predictions

- Onset C** gestures should combine freely with **V** gestures, (which can explain free combinatoriality of onsets and rimes).
- Coda C** gestures are in a less stable mode with **V**s, and therefore there should be increased dependency between **V** and final **C**.
- Within-onset** and **within-coda** consonant coordination may employ non-intrinsically stable modes.
 - specific couplings must be learned
 - acquired late
 - typically small numbers of combinations

C and V gesture valences

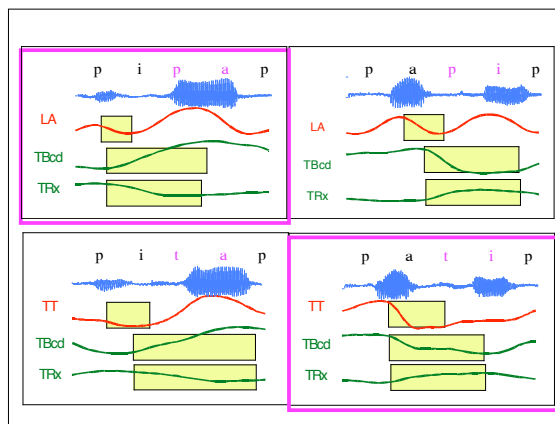
- C and V gestures are differentiated by
 - degree of constriction (V is wider)
 - dynamic stiffness (V takes longer to get to target)
 - activation interval (V still active after C released)
- Nature of these differences is such that C and V gestures can be in phase (at onset) and still be both recoverable by listeners (Mattingly, 1981).
- These gestural properties, together with the stability of in-phase coupling gives rise to valence of C and V gestures -- they combine freely with each other in C-V structures.

Biases in CV combinations

- Grammatically, onset C and V combine freely in many languages (e.g., English).
- However, MacNeilage and Davis (2000) have found there are statistical biases in C-V combinations in the lexicons in a sample of 10 languages
 - Combinations occurring with greater than chance frequency:
 - Coronals with front Vs
 - Labials with central Vs
 - Dorsals with back Vs
 - MacNeilage and Davis find the basis for these patterns in the earliest "syllables" produced by infants.
 - They hypothesize that infants are only oscillating their jaws.

Alternative: gestural synchrony and articulatory constraint

- Some problems with jaw oscillation only theory for infants:
 - Preferred patterns occur more frequently than expected by chance, but many other combinations also are produced.
 - Adult languages show similar trends, but we know adults do more than oscillate the jaw -- C and V can be independent.
- Alternative Hypothesis:
 - While gestures in CV are hypothesized to be triggered synchronously, some CV combinations do not afford articulatory synchrony between C and V gestures, due to intrinsic constraints of the gestures themselves (e.g., Recasens, Solé) or their recoverability.
 - The most frequent combinations are those in which the articulatory synchrony matches synchrony in gestural triggering.

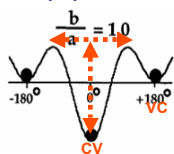


Specific model of modes and additional predictions

- A potential function has been found to characterize qualitative features of coupled oscillatory systems (Haken, Kelso & Bunz, 1985).

- two local minima (0° , 180°)
- $V(\Phi) = -a \cos(\Phi) - b \cos(2\Phi)$

- modeled results of many experiments on interlimb coordination
- in-phase attractor is wider and deeper

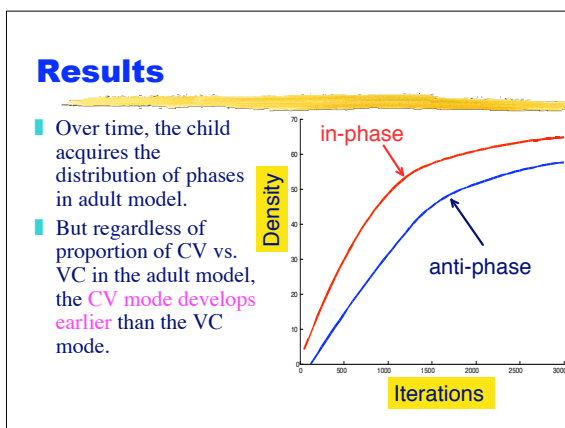
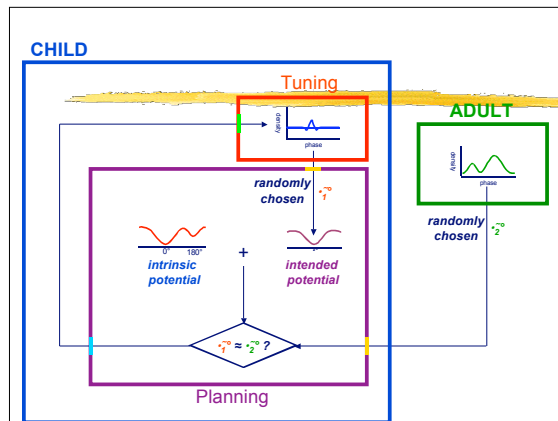
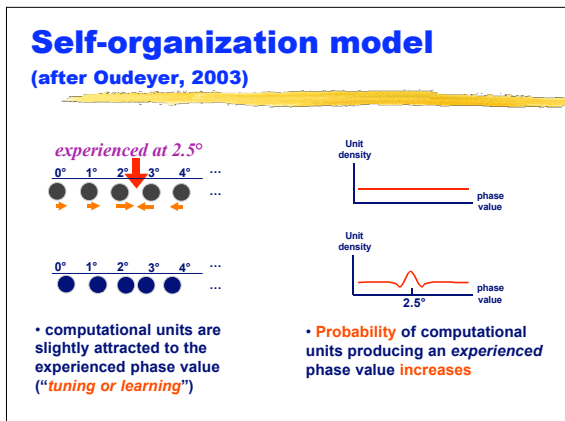


Predictions

- Shorter planning time for CV than VC syllables
- Earlier acquisition of CV than VC syllables

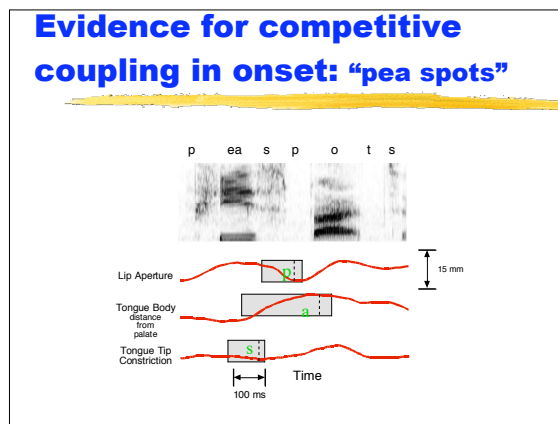
Acquisition of CV vs. VC

- Infants develop CV syllables before VC (in all languages).
- Self-organization model for phase leaning that incorporates HKB coupling function (Nam).



- ### Onsets composed of multiple gestures
- If onset is defined by an **in-phase** relation between C gesture and V, then all onset C gestures should be synchronous with V (and therefore with each other).
 - Combinations of a **clo** or **crit** gesture (stop or fricative) with a wider gesture allow recoverability of both gestures even when synchronized.
 - This result is a **segment** (e.g., nasal or aspirated stop).
 - Combinations of multiple **clo** or **crit** gestures present recoverability problems if synchronous.
 - Gestures must be at least partially sequential (**cluster**).
 - What makes them all part of the onset?

- ### Competitive coupling hypothesis (Browman & Goldstein, 2000)
- Specifications in the coupling the coupling graph are **abstract** and can compete with one another
 - C-V coupling**
 - All C gestures in an onset are coupled in-phase with the V.
 - C-C coupling**
 - C gestures are **also** coupled sequentially (anti-phase or ?)
 - Observed coordination should reveal the presence of both couplings (“c-center” effect).
 - V onset occurs midway between the onsets of the Cs
-
- Onset C → C
- Onset C → V



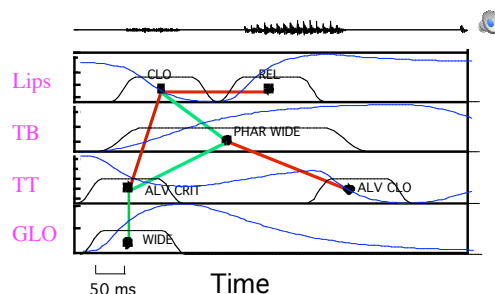
Competitive coupling model

- Generalization of phase planning model to accommodate multiple, competing ψ (Nam & Saltzman, 2003).
- Coupling graph hypothesized for onsets:



- Oscillators still settle into stable patterns (but ψ will not be all be achieved if they are in competition).
- Output phasing consistent with C-center is obtained

Example: /spæ:t/



Clusters in onset vs. coda

- Onset is in-phase relation between C gestures and V
- Coda is anti-phase relation:
 - between V and C
 - among C gestures in coda
 - Only weak attraction expected of multiple Cs to anti-phase relation to V.



Onset vs. coda: microscopic consequences

- Coda clusters do not regularly show C-center (Byrd, 1995; Honorof & Browman, 1995)
 - Predicted by coupling graph--no coupling between C₂ and V.
- Timing between C gestures is more stable in onset clusters than in coda clusters (Byrd, 1996).
 - Adding noise to intergestural coupling model results in more C-C variability in codas than in onsets, due to multiplicity of specified couplings in onset.



Language-particular coupling grammars

- Differences in topology of coupling graphs
 - Modes provide preferences, but ultimately, coupling graphs must be learned.
 - Different V-C coupling in VC light vs. heavy
 - Different coupling of oral constrictions and velum in coda.
- Language differences in coupling graphs could be modeled as resulting from different constraint rankings:
 - Gafos (2002)
 - Nam (2004)
 - max (zero-coordination) [in-phase]
 - min (NON-zero-coordination) [other phase targets]

Language differences in coupling strength

- In a competitive model, coupling strengths (potential well depth) can differ for different links.
- Language differences in relative coupling strength:
 - Georgian initial clusters (Chitoran, Goldstein & Byrd, 2002)
 - more separation in time than English clusters (C-C > C-V)
 - more separation in back-to-front order than front-to-back.
 - May yield qualitative differences, depending on nature of competitive model
 - linear vs. non-linear (strict dominance)

Summary and Prospects

- A competitive, coupled oscillator model for planning intergestural timing may be able to account for several microscopic and macroscopic properties related to syllable structure.
- Future Directions:
 - Modelling of multisyllabic utterances
 - Development of an explicit model that takes account of intrinsic articulatory constraints in modulating relative timing of gestures

References

- Browman, C. P. & Goldstein, L. (1981). Some notes on syllable structure in articulatory phonology. *Phonetica*, 45, 140-155.
- Browman, C. P. & Goldstein, L. (1992). Articulatory phonology: An overview. *Phonetica*, 49(3-4), 155-180.
- Browman, C. & Goldstein, L. (1995a). Dynamics and articulatory phonology. In R. Port & T. van Gelder (Eds.), *Mind as motor: Explorations in the dynamics of cognition*, (pp. 175-193). Cambridge, MA: MIT Press.
- Browman, C. & Goldstein, L. (1995b). Gestural syllable position effects in American English. In F. Bell-Berti & L. Raphael (Eds.), *Producing speech: Contemporary issues*, (pp. 19-33). NY: American Institute of Physics.
- Browman, C. P. & Goldstein, L. (2000). Competing constraints on intergestural coordination and self-organization of phonological structures. *Bulletin de la Communication Parlée*, 5, 25-34.
- Byrd, D. (1995). C-centers revisited. *Phonetica*, 52, 285-306.
- Byrd, D. (1996). Influences on articulatory timing in consonant sequences. *Journal of Phonetics*, 24, 209-244.
- Chitiran, I., Goldstein, L., & Byrd, D. (2002). Gestural overlap and recoverability: Articulatory evidence from Georgian. Gansenhoven, C. & Warner, N. (eds), *Papers in Laboratory Phonology*, 7 (pp.419-447). Berlin: Mouton deGruyter.
- deBoer, B. (2000). Self-organization in vowel systems. *Journal of Phonetics*, 28, 441-465.
- dobrog, K. (2001). Rate induced re-syllabification revisited. *Language and Speech*, 44, 229 - 259.
- doJong, K., Lim, B., & Nagao, K. (2004). *Language & Speech*, 47, 241-266.
- Goldstein, L. (2003). Emergence of discrete gestures. In Söke, M.J., Recanens, D., and Romero, J. Proceedings of the 15th International Congress of Phonetic Sciences, pp. 85-88.
- Goldstein, L., & Fowler, C. (2003). Articulatory phonology: a phonology for public language use. In Meyer, A., & Schiller, N., *Phonetics and Phonology in Language Comprehension and Production: Differences and Similarities* (pp. 159-207). New York: Mouton.
- Halle, M. (1983). On distinctive features and their articulatory implementation. *Natural Language and Linguistic Theory*, 1, 91-105.

- Haken, H., Kelso, J. A. S., & Bunz, H. (1985). A theoretical model of phase transitions in human hand movements. *Biological Cybernetics*, 51, 347-356.
- Honorof, D. N., & Browman, C. P. (1995). The center or edge: How are consonant clusters organized with respect to the vowel? In K. Elenius & P. Branderud (Eds.), *Proceedings of the XIIIth International Congress of Phonetic Sciences*, (Vol. 3, pp. 552-555). Stockholm: KTH and Stockholm University.
- Kelso, J. A. S., Saltzman, E. L., & Tuller, B. (1986). The dynamical perspective on speech production: Data and theory. *Journal of Phonetics*, 14(1), 29-59.
- MacNeillage, P.F. and Davis, B.L. (2006). Origin of the internal structure of word forms. *Science*, 288, 527-531.
- Mattingly, I. G. (1981). Phonetic representation and speech synthesis by rule. In T. Myers, J. Laver, & J. Anderson (Eds.), *The cognitive representation of speech*, (pp. 415-420). Amsterdam: North Holland.
- Meltzoff, A.N. and Moore, M.K. (1977). Imitation of facial and manual gestures by human infants. *Science*, 198, 72-78.
- Nam, H. (2004). Syllable level intergestural timing model: split gesture dynamics focusing on positional asymmetry and moraic structure. Paper presented at Lab/Phon 9, University of Illinois, Champaign-Urbana, IL.
- Nam, H. and Saltzman, E. (2003). A competitive, coupled oscillator model of syllable structure. In Söke, M.J., Recanens, D., and Romero, J. *Proceedings of the 15th International Congress of Phonetic Sciences*.
- Oudeyer, P.-Y. (2003). L'auto-organisation de la Parole. Unpublished PhD, University of Paris VI.
- Oudeyer, P.-Y. (in press). The self-organization of speech sounds. *Journal of Theoretical Biology*.
- Pikovsky, A., Rosenblum, M. & Kurths, J. (2001). *Synchronization: A universal concept in nonlinear science*. Cambridge: Cambridge University Press.

- Saltzman, E. (1985). Task dynamic coordination of the speech articulators: A preliminary model. In H. Hesse & C. Fromm (Eds.), *Experimental brain research*, (pp. 129-144). New York: Springer-Verlag.
- Saltzman, E. (1995). Dynamics and coordinate systems in skilled sensorimotor activity. In Port, R. and van Gelder, T., *Mind as Motion* (pp. 150-173). Cambridge, MA: MIT Press.
- Saltzman, E. and Byrd, D. (2000). Task-dynamics of gestural timing: Phase windows and multifrequency rhythms. *Human Movement Science*, 19, 499-526.
- Saltzman, E., Lofqvist, A., Kay, B., Kinoshita, Shaw, J., and Rubin, P., (1998). Dynamics of intergestural timing: A perturbation study of lip-larynx coordination. *Experimental Brain Research*, 123, 412-424.
- Saltzman, E. L., and Munhall, K. G. (1989). A dynamical approach to gestural patterning in speech production. *Ecological Psychology*, 1, 333-382.
- Siebert, R. H. (1951). *Motor phonetics*. 2nd ed. Amsterdam: North-Holland.
- Shuddert-Kennedy, M. (2002). Mirror neurons, vocal imitation, and the evolution of particulate speech. In Gallesse, V. & Stamenov, M. (eds), *Mirror Neurons and the Evolution of Brain and Language*. Amsterdam: John Benjamins.
- Shuddert-Kennedy, M. and Goldstein, L. (2003). Launching language: Gestural origin of discrete infinity. Christiansen, M. & Kirby, S. (eds), *Language evolution: The States of the Art*, (pp. 235-254). Oxford: Oxford University Press.
- Tuller, B., & Kelso, J. A.S. (1990). Phase transitions in speech production and their perceptual consequences. In M. Jeannerod (Ed.), *Attention and performance XIII*. Hillsdale, NJ: Erlbaum.
- Tuller, B. & Kelso, J.A.S. (1991). The production and perception of syllable structure. *Journal of Speech and Hearing Research*, 34, 501-508.
- Turvey, M.T. (1990). Coordination. *American Psychologist*, 45, 938-953.

Thank You!